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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,229	05/31/2001	Yu-Hwa Lo	NOVA-014

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020-1105



CONFIRMATION NO. 1700

FORMALITIES LETTER



OC000000006319184

Date Mailed: 07/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Yu-Hwa Lo, Zuhua Zhu,
and Chenting Lin

Application No. : 09/871,229 Confirmation No. 1700

Filed : May 31, 2001

For : SURFACE-EMITTING LASER DEVICES
WITH INTEGRATED BEAM-SHAPING OPTICS
AND POWER-MONITORING DETECTORS

Group Art Unit : 2881

Examiner : Not yet assigned

Hon. Commissioner
for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Sir:

Responsive to the July 19, 2001 Notice to File
Missing Parts for the above-identified patent application,
the following materials are enclosed:

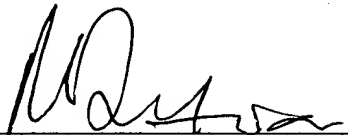
1. A copy of the Notice to File Missing Parts;
2. An executed Declaration; and
3. A check in the amount of \$65.00 in payment of
the surcharge.

The Director is hereby authorized to charge any
additional fee or to credit any overpayment in connection
with this paper or the related patent application to Deposit

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Account No. 06-1075. A duplicate copy of this paper is enclosed.

Respectfully submitted,

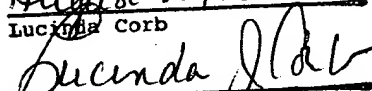


Manu J. Tejawani
Registration No. 37,952
Attorney for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

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